

Scattering polarization diagnostics of coronal magnetism

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Why Spectro-Polarimetry?

- **polarization** of radiation is produced whenever the interaction of photons with ions is affected by **symmetry breaking** processes, e.g.,
 - presence of **magnetic and electric fields** interacting with the ions
 - **anisotropic excitation and de-excitation** (radiative and/or collisional) of the atomic levels
- interpreting the **spectral** and **polarization** properties of radiation allows us to diagnose **thermodynamic and electromagnetic processes** occurring in a plasma

Polarization of Radiation

- plane-wave solution of a radiation field (e.g., valid in the far-field approximation of a radiating dipole) of angular frequency ω propagating in the z direction

$$E_{x,y}(z, t, \omega) = A_{x,y} e^{i(kz - \omega t + \delta_{x,y})}, \quad k = \omega/c$$

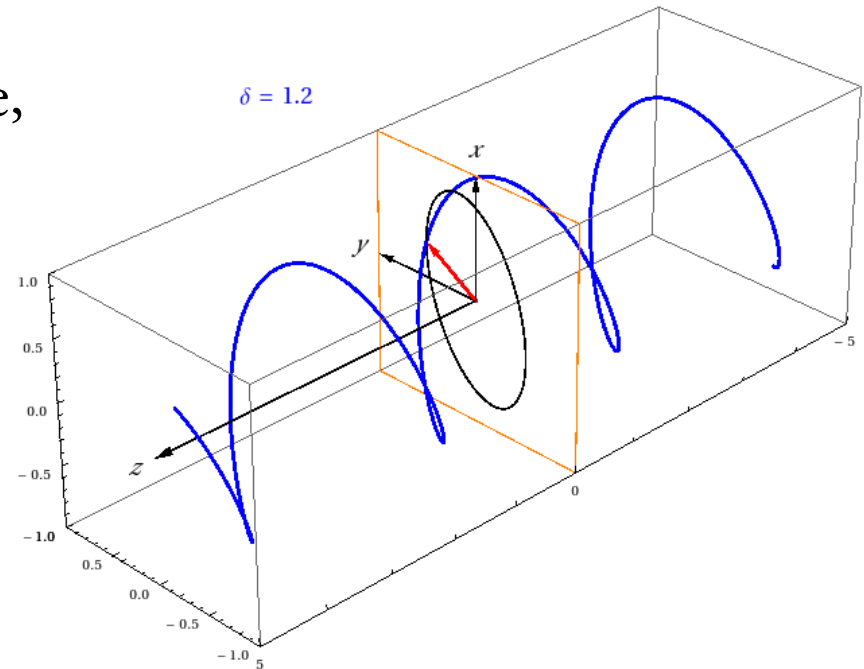
where $A_{x,y}$ and $\delta_{x,y}$ are **four real quantities**

- at any given value of z , as a function of time, the tip of the vector $\mathbf{E} \equiv (E_x, E_y)$ generally describes an ellipse (*polarization ellipse*)

$$A_x = 0.8, \quad \delta_x = 1.2$$

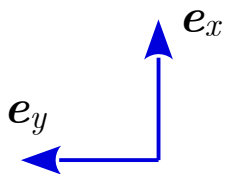
$$A_y = 0.5, \quad \delta_y = 0.0$$

$$\delta \equiv \delta_x - \delta_y$$



Operational Definition: Stokes Parameters

- wave amplitudes and phases are not immediately observable quantities, so we need to introduce **directly measurable signals**

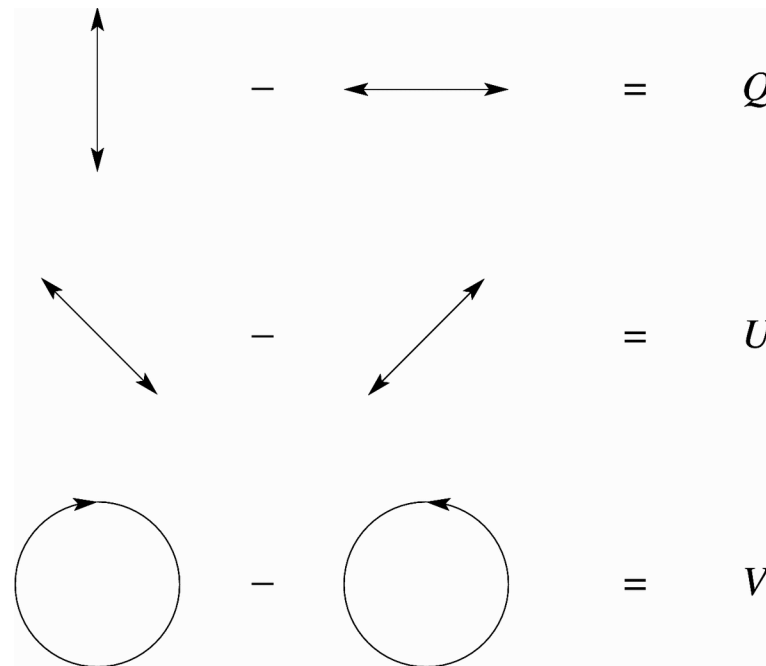


$$I = \langle E_x^* E_x \rangle + \langle E_y^* E_y \rangle$$

$$Q = \langle E_x^* E_x \rangle - \langle E_y^* E_y \rangle$$

$$U = \langle E_x^* E_y \rangle + \langle E_y^* E_x \rangle$$

$$V = i\langle E_x^* E_y \rangle - i\langle E_y^* E_x \rangle$$

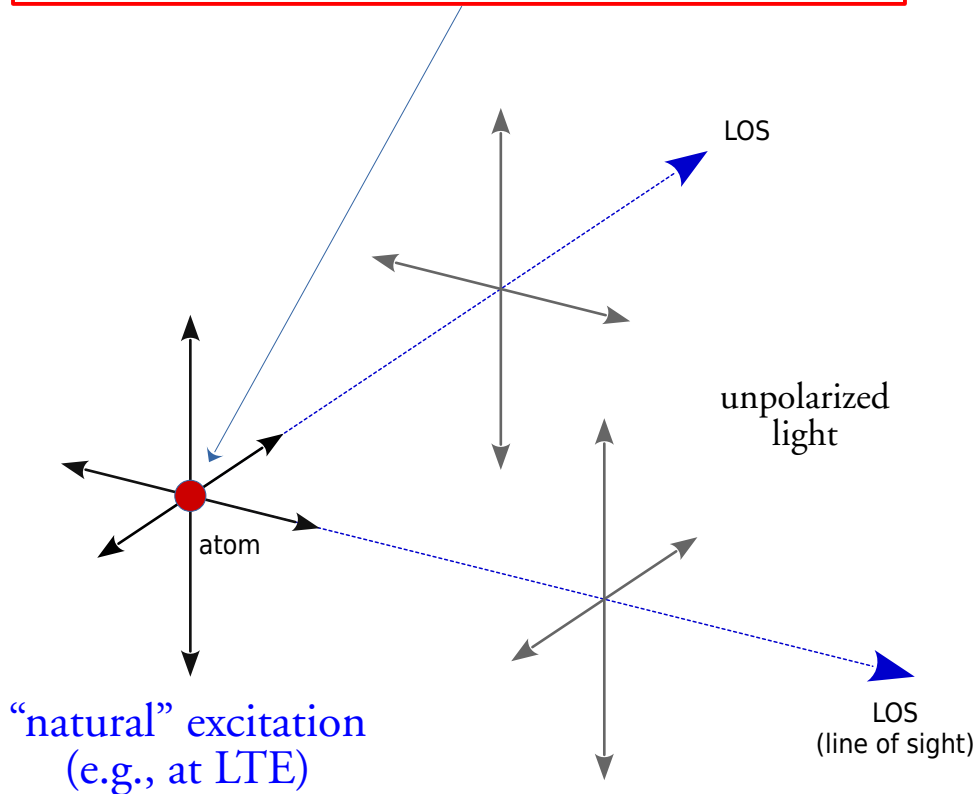


$\langle \dots \rangle$ indicates averaging over the characteristic **temporal**, **spatial**, and **spectral** scales of the measurement

1: *Polarization in Spectral Lines*

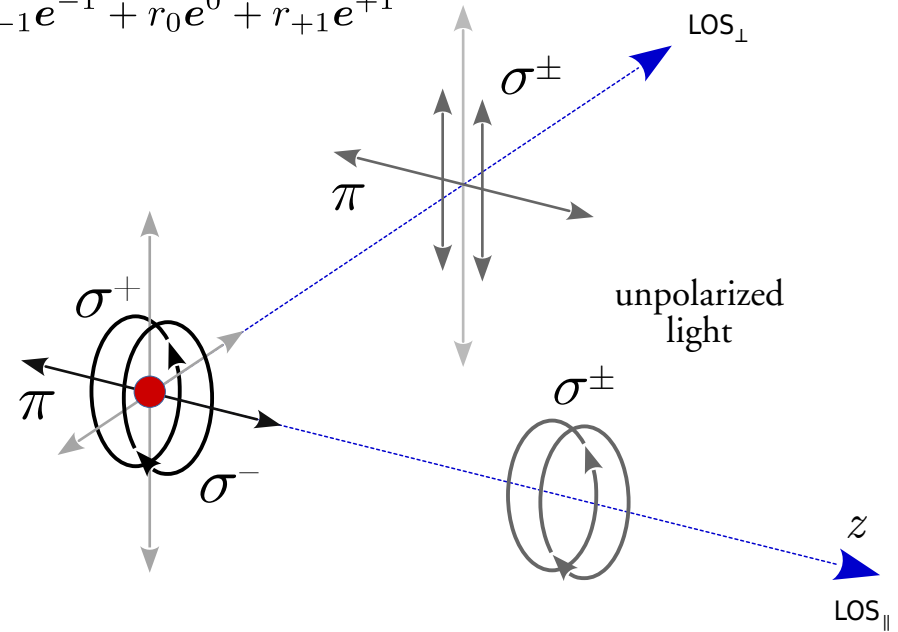
Radiating Atom (semi-classical view): no field

3D electron (damped) oscillator
(H. A. Lorentz, *The Theory of Electrons*, 1916)



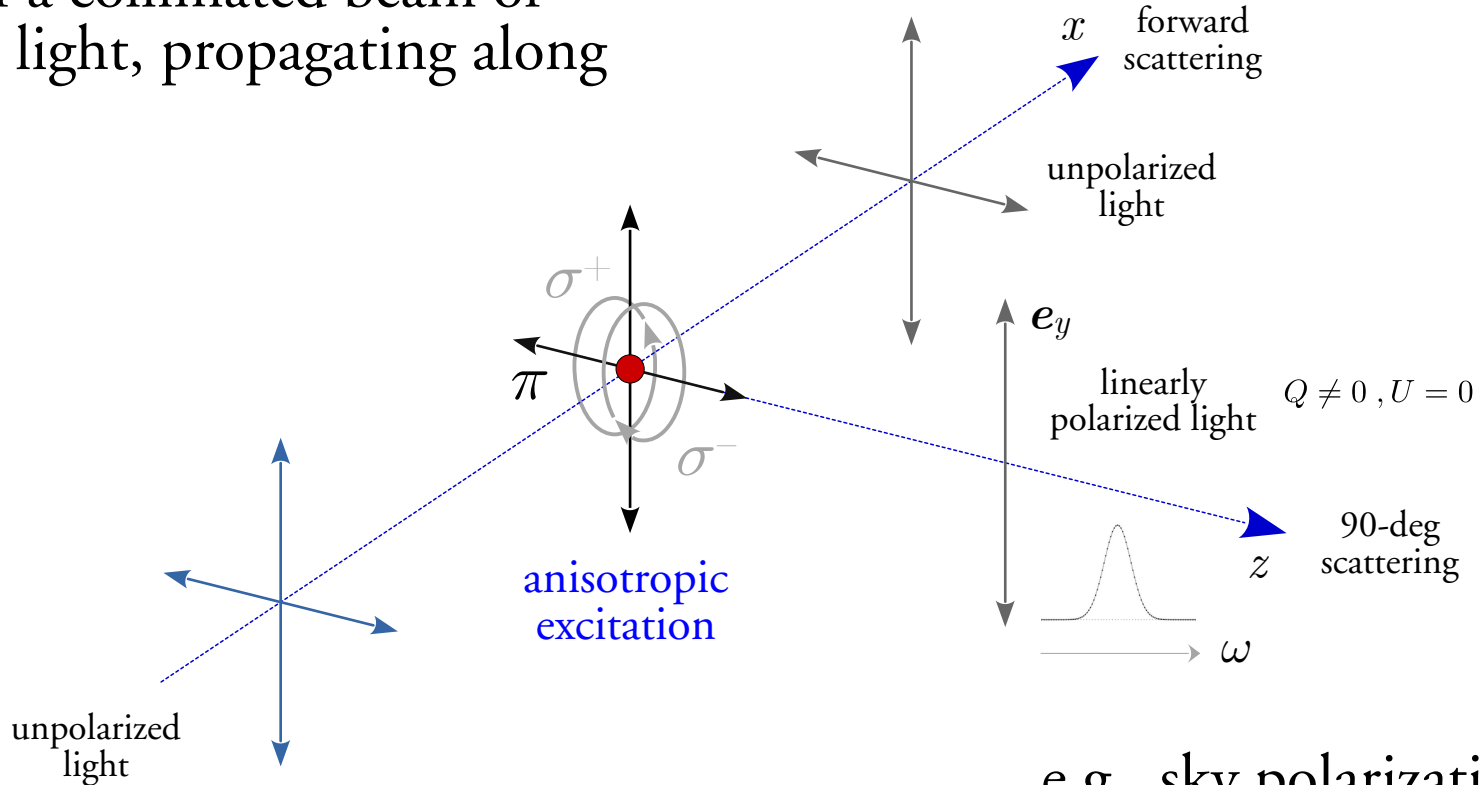
$$\left. \begin{aligned} e^{\pm 1} &= \mp \frac{1}{\sqrt{2}} (e_x \mp e_y) \\ e^0 &= e_z \end{aligned} \right\} \leftarrow \text{spherical basis}$$

$$\begin{aligned} \mathbf{r} &= x\mathbf{e}_x + y\mathbf{e}_y + z\mathbf{e}_z \\ &= r_{-1}\mathbf{e}^{-1} + r_0\mathbf{e}^0 + r_{+1}\mathbf{e}^{+1} \end{aligned}$$



Scattering Polarization (semi-classical view)

scattering of a collimated beam of unpolarized light, propagating along the x -axis

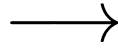


e.g., sky polarization of Rayleigh-scattered sunlight

Atomic Polarization (semi-classical view)

$$E_x = \frac{1}{\sqrt{2}} (E_{-1} - E_{+1})$$

$$E_y = \frac{i}{\sqrt{2}} (E_{-1} + E_{+1})$$



$$I = \langle E_{+1}^* E_{+1} \rangle + \langle E_{-1}^* E_{-1} \rangle$$

$$Q = -\langle E_{+1}^* E_{-1} \rangle - \langle E_{-1}^* E_{+1} \rangle$$

$$U = i\langle E_{+1}^* E_{-1} \rangle - i\langle E_{-1}^* E_{+1} \rangle$$

$$V = \langle E_{+1}^* E_{+1} \rangle - \langle E_{-1}^* E_{-1} \rangle$$

90-deg
scattering

No polarization:

(e.g., natural excitation, no \mathbf{B})

Q polarization; zero U, V :

(e.g., anisotropic excitation, no \mathbf{B})

$$\langle E_{+1}^* E_{+1} \rangle = \langle E_{-1}^* E_{-1} \rangle$$

$$\langle E_{+1}^* E_{-1} \rangle = \langle E_{-1}^* E_{+1} \rangle = 0$$

$$\langle E_{+1}^* E_{+1} \rangle = \langle E_{-1}^* E_{-1} \rangle$$

$$\langle E_{+1}^* E_{-1} \rangle = \langle E_{-1}^* E_{+1} \rangle \neq 0$$

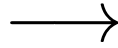
atomic
populations

atomic
coherences

Atomic Polarization (semi-classical view)

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(e.g., natural excitation, no \mathbf{B})

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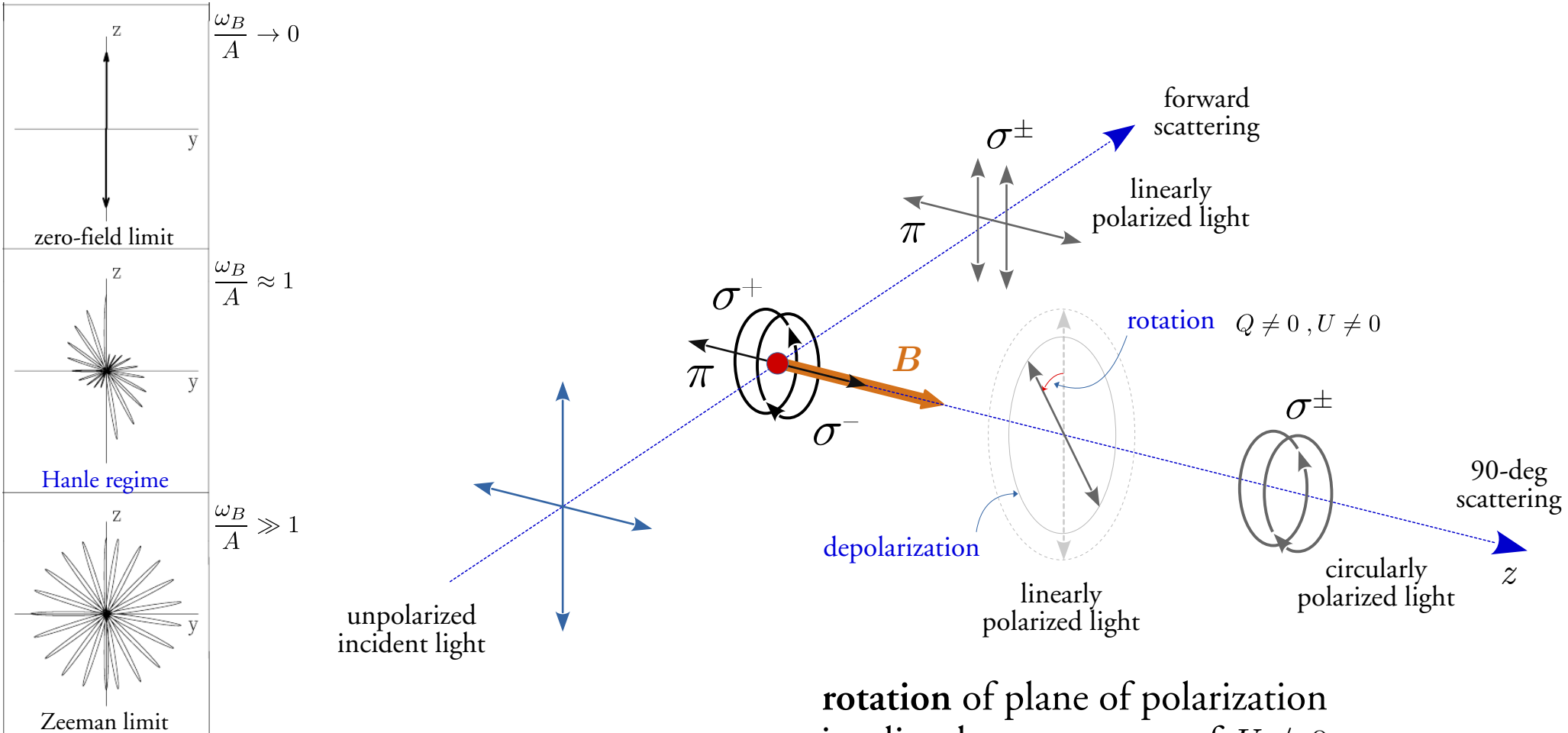
$$\langle E_{+1}^* E_{+1} \rangle = \langle E_{-1}^* E_{-1} \rangle$$

$$\langle E_{+1}^* E_{-1} \rangle = \langle E_{-1}^* E_{+1} \rangle \neq 0$$

scattering polarization is a manifestation of atomic *coherence*

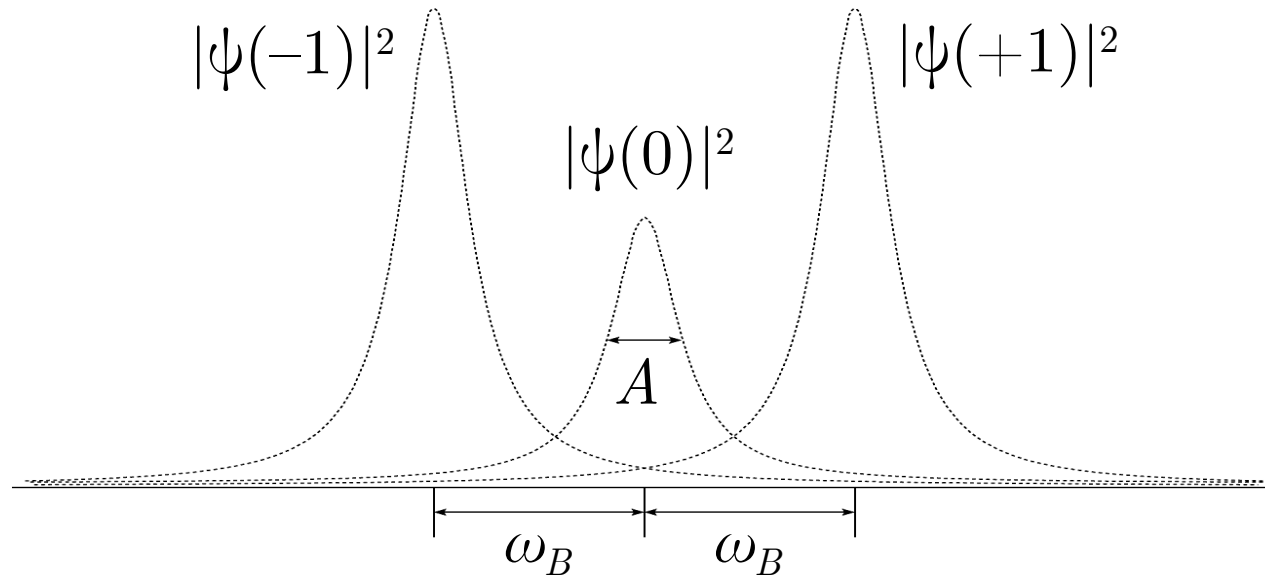


Hanle Effect (semi-classical view)



rotation of plane of polarization
implies the appearance of $U \neq 0$

Hanle Effect (“quantistic” view)



$$|\psi(M) + \psi(M')|^2 \neq |\psi(M)|^2 + |\psi(M')|^2$$

$$|\psi(M) + \psi(M')|^2 \rightarrow |\psi(M)|^2 + |\psi(M')|^2 \quad \text{when} \quad \omega_B \gg A$$

NLTE of the 2nd Kind

- for **zero fields**, a spectral line can be polarized only if the **weights** of the Zeeman components (i.e., the **populations** of their initial levels) are different
 - **anisotropic excitation/de-excitation processes** (radiative and/or collisional) in stellar atmospheres create **atomic polarization**, i.e., **population imbalance** and **quantum coherence** among atomic levels
 - in contrast, atomic polarization is **relaxed or destroyed by isotropic processes**
 - e.g., the “thermalization” of atomic level populations (which consequent destruction of quantum coherence) in collisionally dominated plasmas (a typical LTE condition)
 - depolarization by a microscopically turbulent external field
- **zero-field polarization** is characteristic of **low-density and anisotropically illuminated** plasmas (e.g., the solar chromosphere and corona)

Example: Solar Atmosphere

competing effects of **anisotropic irradiation** and **isotropic collisions**

- **photosphere:** radiation anisotropy is low; collision isotropy is high; collisional rates (gas density) is high → LTE conditions

→ zero-field polarization is **negligible**

- **chromosphere:** radiation anisotropy may be large (mostly dependent on CLV and horizontal plasma inhomogeneity); collision isotropy is high; collisional rates decrease quickly with height

→ zero-field polarization is **important**

- **corona:** radiation anisotropy is dominant (from both CLV and height); collision isotropy starts to break down; collisional rates are low

→ zero-field polarization is **dominant**

2. *Fundamentals of Spectral Line Formation*

Radiative Transfer

let us consider the (scalar) Radiative Transfer Equation (RTE), describing the variation of the **radiation intensity** at a point of linear coordinate s along the ray path, and around the resonance frequency ω_{ul} of an atomic transition between an upper level u and a lower level l :

$$\frac{d}{dt} \frac{I(\omega)}{c} \equiv \frac{d}{ds} I(\omega) = -k(\omega)I(\omega) + \varepsilon(\omega) , \quad \omega = 2\pi c/\lambda$$

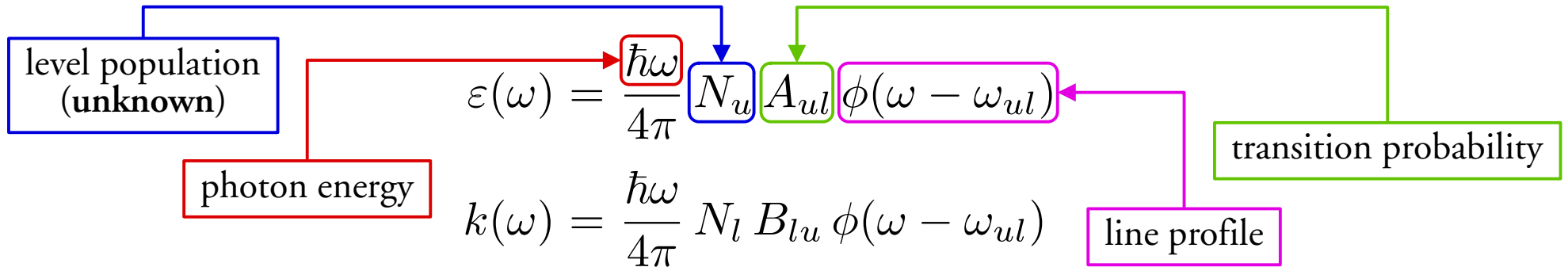
$$\varepsilon(\omega) = \frac{\hbar\omega}{4\pi} N_u A_{ul} \phi(\omega - \omega_{ul}) \quad \leftarrow \text{emission coefficient}$$

$$k(\omega) = \frac{\hbar\omega}{4\pi} N_l B_{lu} \phi(\omega - \omega_{ul}) \quad \leftarrow \text{absorption coefficient}$$

Radiative Transfer

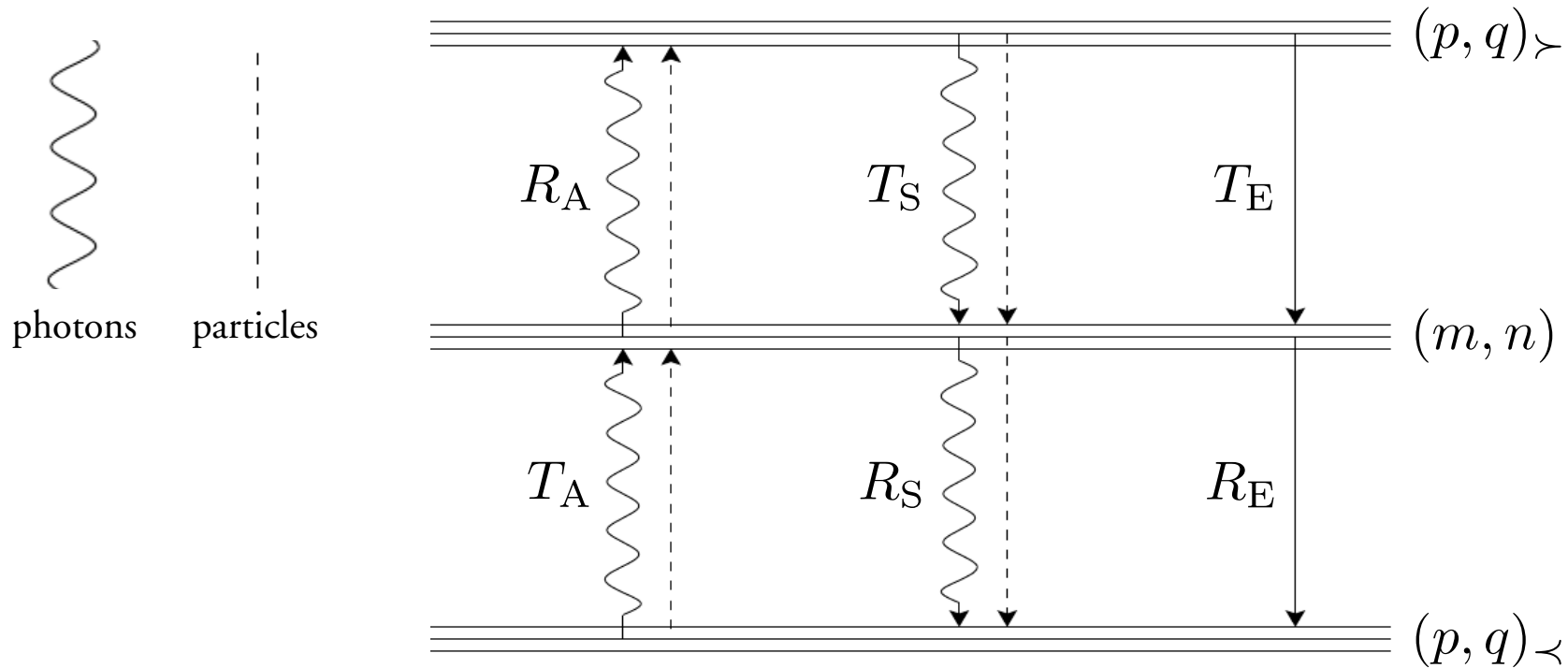
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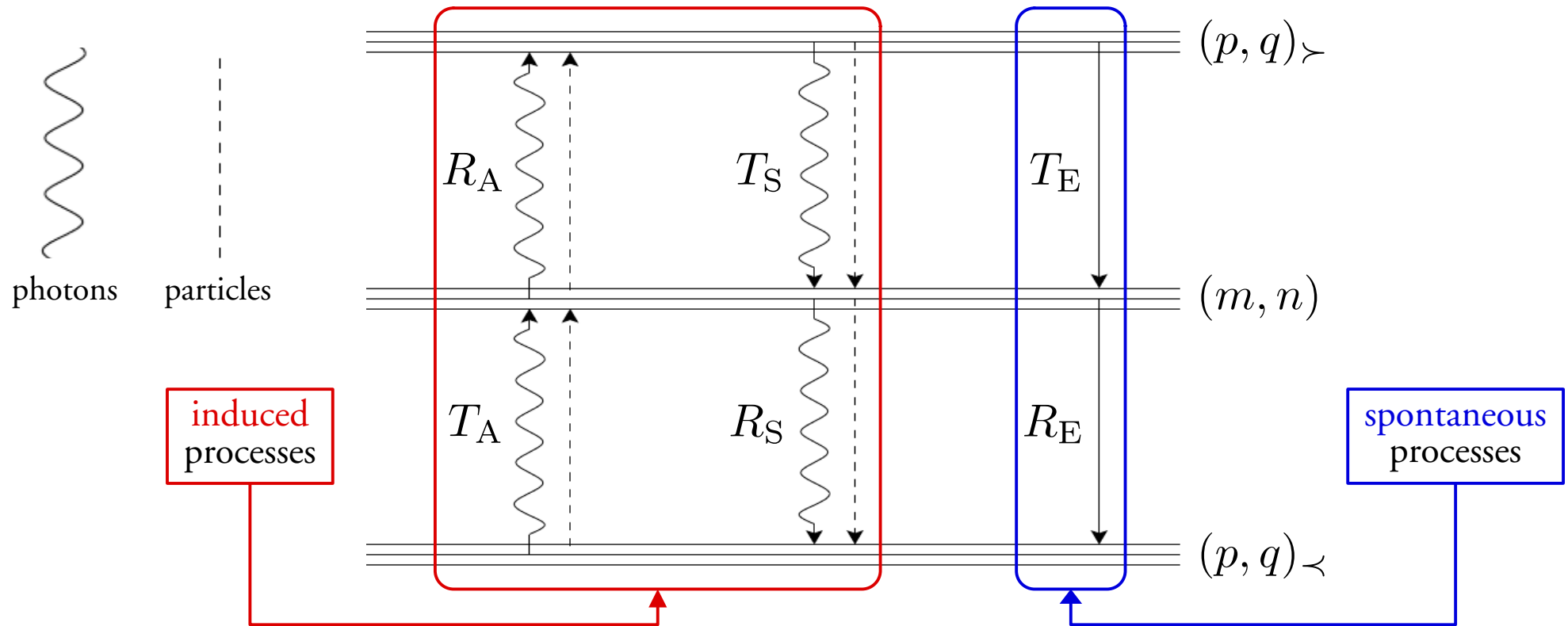
Statistical Equilibrium

in a multi-level system, a given set of levels (m, n) is subject to both **transfer** (T) processes **from** and **relaxation** (R) processes **towards** other levels

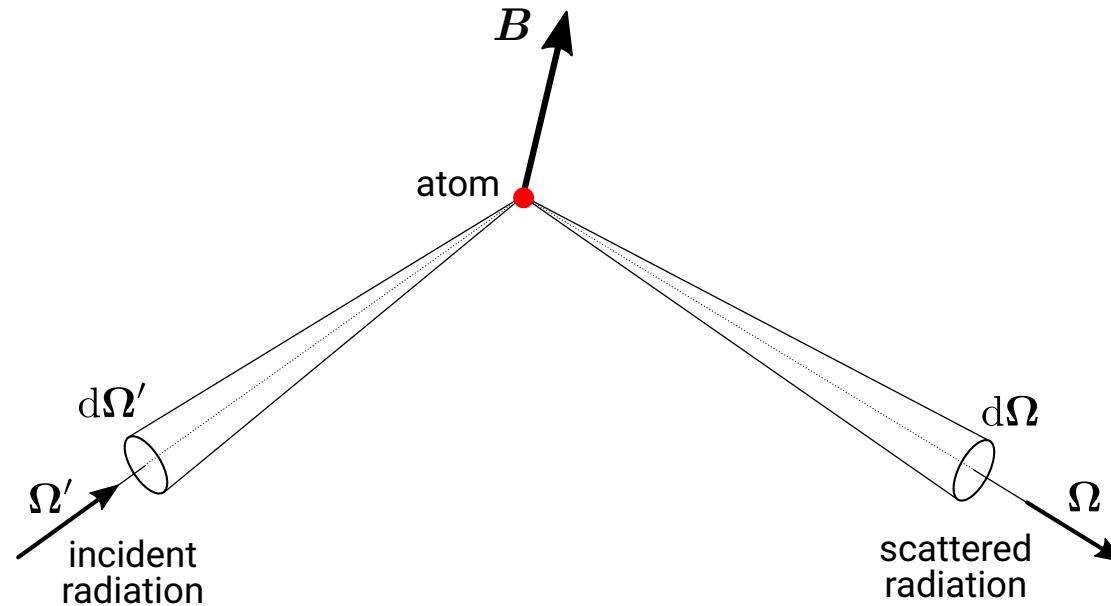


Statistical Equilibrium

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Scattering of Radiation



- in the **1st-order approximation of light-matter interaction**, radiation scattering is treated as the **incoherent** sequence of photon absorption and re-emission (CRD)
- the upper-level population N_u is the solution of the SE of the 2-level atom illuminated by the incident radiation

3: *Hanle-Effect Diagnostics of Coronal Magnetism*

Radiation Drivers of Scattering Polarization

- we consider the simplest scenario:
 - the specific intensity of the solar surface is only a function of $\mu = \cos \vartheta$ (e.g., center-to-limb variation; CLV)
 - the **cylindrical symmetry** of the **unpolarized** radiation around the local vertical through the scattering point allows us to fully describe the radiation field using only the two **radiation tensor** components $J_0^0(\omega), J_0^2(\omega)$

- if we can neglect CLV:

irreducible spherical tensors

$$J_0^0(\omega) = \frac{1}{2}(1 - \cos \vartheta_M) I(\omega)$$

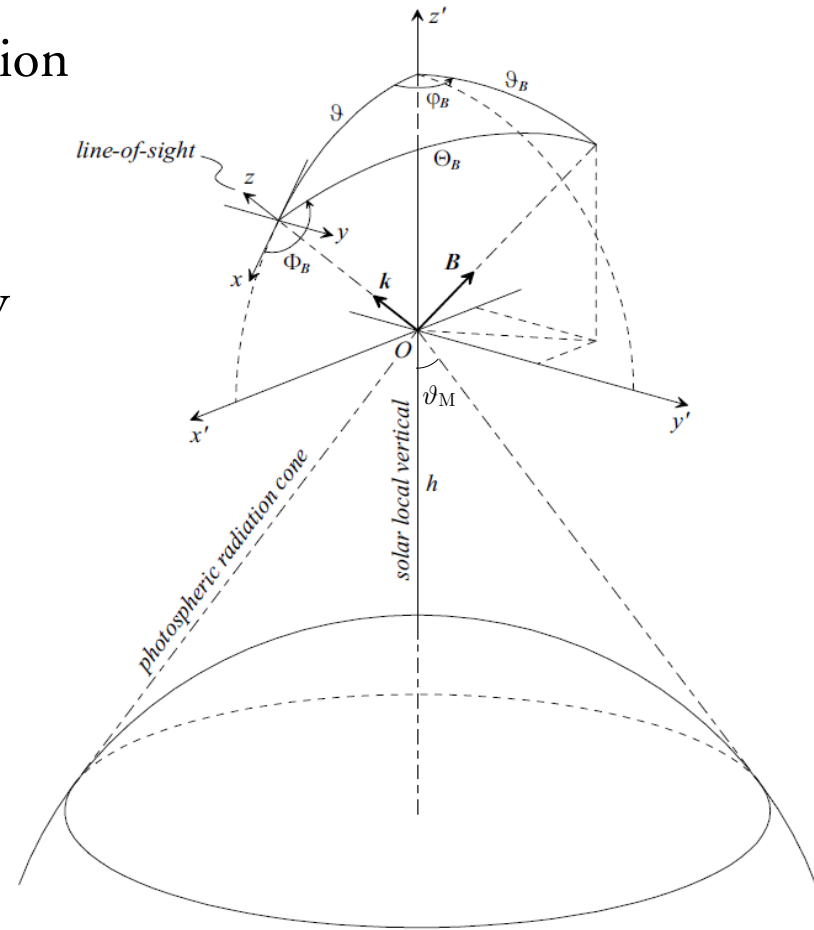
dilution factor

$$J_0^2(\omega) = \frac{1}{4\sqrt{2}} \sin^2 \vartheta_M \cos \vartheta_M I(\omega)$$

anisotropy factor

radiation anisotropy

$$w(\omega, \vartheta_M) \equiv \sqrt{2} \frac{J_0^2(\omega)}{J_0^0(\omega)} = \frac{1}{2}(1 + \cos \vartheta_M) \cos \vartheta_M$$



Hanle Effect: Scattering Emissivity (1)

- in the presence of processes that polarize the atom (e.g., the anisotropic illumination of the plasma), the **population** N_u of the excited state J_u is replaced by its **density matrix**
- we assume that the lower level J_l is unpolarized (easier to get a closed solution)
- if we can ignore to first order any energy splitting of the levels in the line profile (the so-called “Hanle limit” when a magnetic field is present), then the following generalization holds true

$$\varepsilon(\omega) = \frac{\hbar\omega}{4\pi} N_u A_{J_u J_l} \phi(\omega - \omega_{ul})$$

$$\rightarrow \varepsilon_i(\omega, \hat{\mathbf{k}}) = \frac{\hbar\omega}{4\pi} N_u A_{J_u J_l} \phi(\omega - \omega_{ul}) \sum_{KQ} w_{J_u J_l}^{(K)} \sigma_Q^K(J_u) \mathcal{T}_Q^K(i, \hat{\mathbf{k}}) \quad (i = 0, 1, 2, 3)$$

reference-frame
dependent quantities

$\hat{\mathbf{k}} \equiv$ direction of the LOS

$w_{J_u J_l}^{(K)} \equiv$ transition *polarizability* of order K

$\sigma_Q^K(J_u) \equiv$ normalized density matrix (*atomic polarization*)

$\mathcal{T}_Q^K(i; \hat{\mathbf{k}}) \equiv$ polarization tensors (Landi Degl’Innocenti 1983)

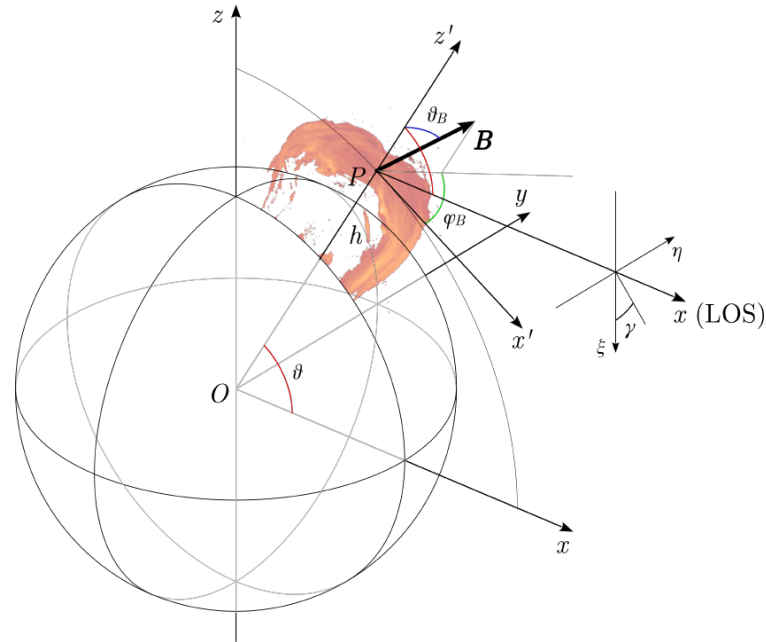
$$K = 0, \dots, \min(J_u, 2)$$

$$Q = -K, \dots, +K$$

space invariance requires that sum over Q
is conserved under frame transformation

Hanle Effect: Scattering Emissivity (2)

- for the 2-level atom (J_u, J_l) with unpolarized lower level, we can provide a dimensionless expression of the polarized scattering emissivity in the presence of a magnetic field \mathbf{B} , integrated over the line profile
 - because the circular polarization vanishes when integrated across the line, this expression only applies to the **intensity and linear polarization**



$$\varepsilon_i(\hat{\mathbf{k}}) \propto \sum_{KQ} w_{J_u J_l}^{(K)} \frac{w_{J_u J_l}^{(K)}}{1 + iQ g_{J_u} \omega_B / A_{J_u J_l}} d_{0Q}^K(\vartheta_B) \frac{J_0^K(\omega_{ul})}{J_0^0(\omega_{ul})} \times \sum_P e^{-iP\varphi_B} d_{PQ}^K(\vartheta_B) \mathcal{T}_P^K(i; \vartheta, \gamma) \quad (i = 0, 1, 2)$$

B-frame

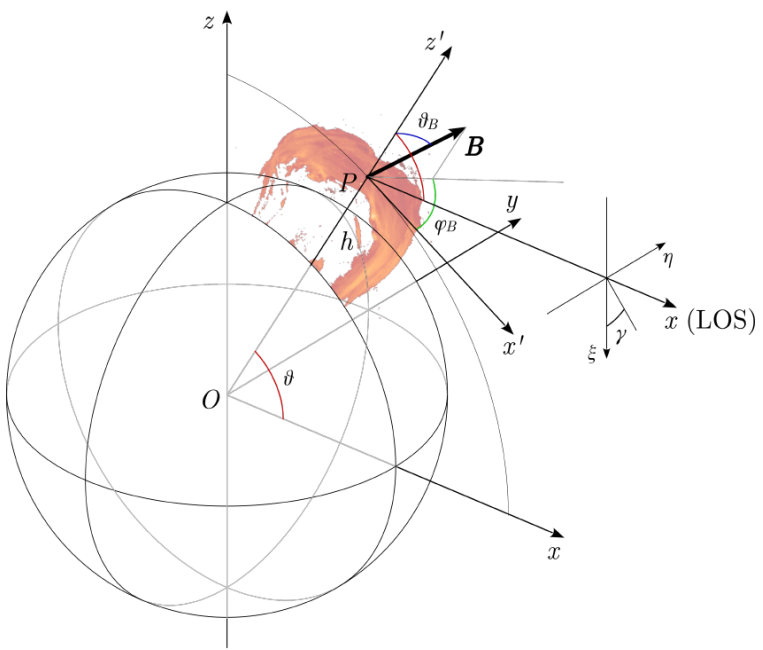
$g_{J_u} \equiv$ Landé factor

$d_{PQ}^K(\beta) \equiv$ reduced rotation matrix

$\mathcal{T}_P^K(i; \vartheta, \gamma) \equiv$ polarization tensors in the local-vertical frame

Hanle Effect: Scattering Emissivity (3)

- for the 2-level atom (J_u, J_l) with unpolarized lower level, we can provide a dimensionless expression of the polarized scattering emissivity in the presence of a magnetic field \mathbf{B} , integrated over the line profile
 - because the circular polarization vanishes when integrated across the line, this expression only applies to the **intensity and linear polarization**



$$\varepsilon_i(\hat{\mathbf{k}}) \propto \sum_{KQ} w_{J_u J_l}^{(K)} \left[1 + iQ g_{J_u} \omega_B / A_{J_u J_l} \right] d_{0Q}^K(\vartheta_B) \frac{J_0^K(\omega_{ul})}{J_0^0(\omega_{ul})} \times \sum_P e^{-iP\varphi_B} d_{PQ}^K(\vartheta_B) \mathcal{T}_P^K(i; \vartheta, \gamma) \quad (i = 0, 1, 2)$$

Hanle-effect denominator

polarization tensors in the B -frame

radiation tensors in the B -frame

Relaxation of Atomic Coherences

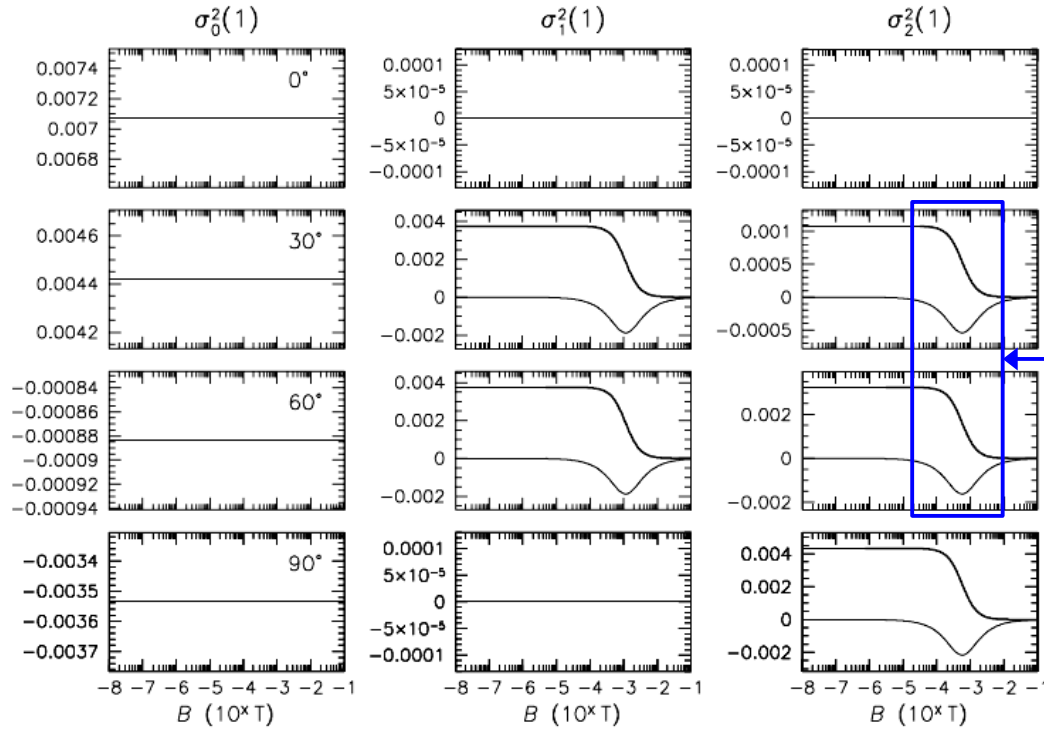


Fig. 12.9. Fractional alignment of the upper level, $J_u = 1$, of the 0–1 atom, as a function of the magnetic field strength, and for various inclinations (0° , 30° , 60° , 90°) of the field with respect to the direction of incident radiation. For this plot, we used $A_{10} = 10^8 \text{ s}^{-1}$ and $w(\omega_{10}) = 10^{-2}$. The thick and thin curves correspond to the real and imaginary parts of σ_Q^2 , respectively.

- atomic coherences correspond to $Q \neq 0$
- $Q = 0$ is not affected by the magnetic field strength (it only depends on the direction of B)
- the imaginary parts of the coherences are non zero only when $\omega_B \sim A_{10}$

magnetic regime of
the Hanle effect

$$\omega_B \sim A_{10}$$

(from Casini & Landi Degl'Innocenti 2008, *Astrophysical Plasmas*, in *Plasma Polarization Spectroscopy*, ed. Fujimoto & Iwamae, Springer)

Zeeman-Effect Diagnostics

Weak-Field Approximation:

$$\xi \equiv (\omega_B / \Delta\omega_T) \ll 1$$

$$I(\omega; \xi) \sim I(\omega; 0) + O(\xi^2)$$

$$Q(\omega; \xi) \sim O(\xi^2)$$

$$U(\omega; \xi) \sim O(\xi^2)$$

$$V(\omega; \xi) \sim \xi I'(\omega; 0) + O(\xi^3)$$

- $\Delta\omega_T$ is the **Doppler width** at the plasma temperature T
- ξ is a measure of the magnetic strength

- when just a fraction f_B (**filling factor**) of the pixel is “magnetic”

$$I(\omega; \xi) \cong (1-f_B)I(\omega; 0) + f_B I(\omega; 0) = I(\omega; 0)$$

$$V(\omega; \xi) \cong 0(1-f_B)I'(\omega; 0) + \xi f_B I'(\omega; 0) = (\xi f_B)I'(\omega; 0)$$

- (ξf_B) quantifies the **magnetic flux**, not the magnetic strength (since ξ and f_B cannot be disentangled without additional information)

Hanle-Effect Diagnostics

In the **Weak-Field Approximation**:

- $I(\omega; \xi)$ and $V(\omega; \xi)$ behave like in the Zeeman effect
- in contrast (e.g., 90° scattering along \mathbf{B} ; see slide 11)

$$Q(\omega; \xi) \sim p_Q(w; \xi)I(\omega; 0) + O(\xi^2)$$

$$U(\omega; \xi) \sim \xi p_U(w; \xi)I(\omega; 0) + O(\xi^2)$$

where w is the anisotropy of the radiation field

- even if only a fraction f_B of the resolution element is magnetic, ξ and f_B are formally disentangled, hence we can in principle measure the **field strength**!
- because A_{ul} is much smaller than $\Delta\omega_T$, the Hanle effect is typically sensitive to much smaller fields than the Zeeman effect

Zeeman vs Hanle: 180° Ambiguity (1)

- the 180° ambiguity is realized if and only if the expressions of the Stokes parameters do not change when

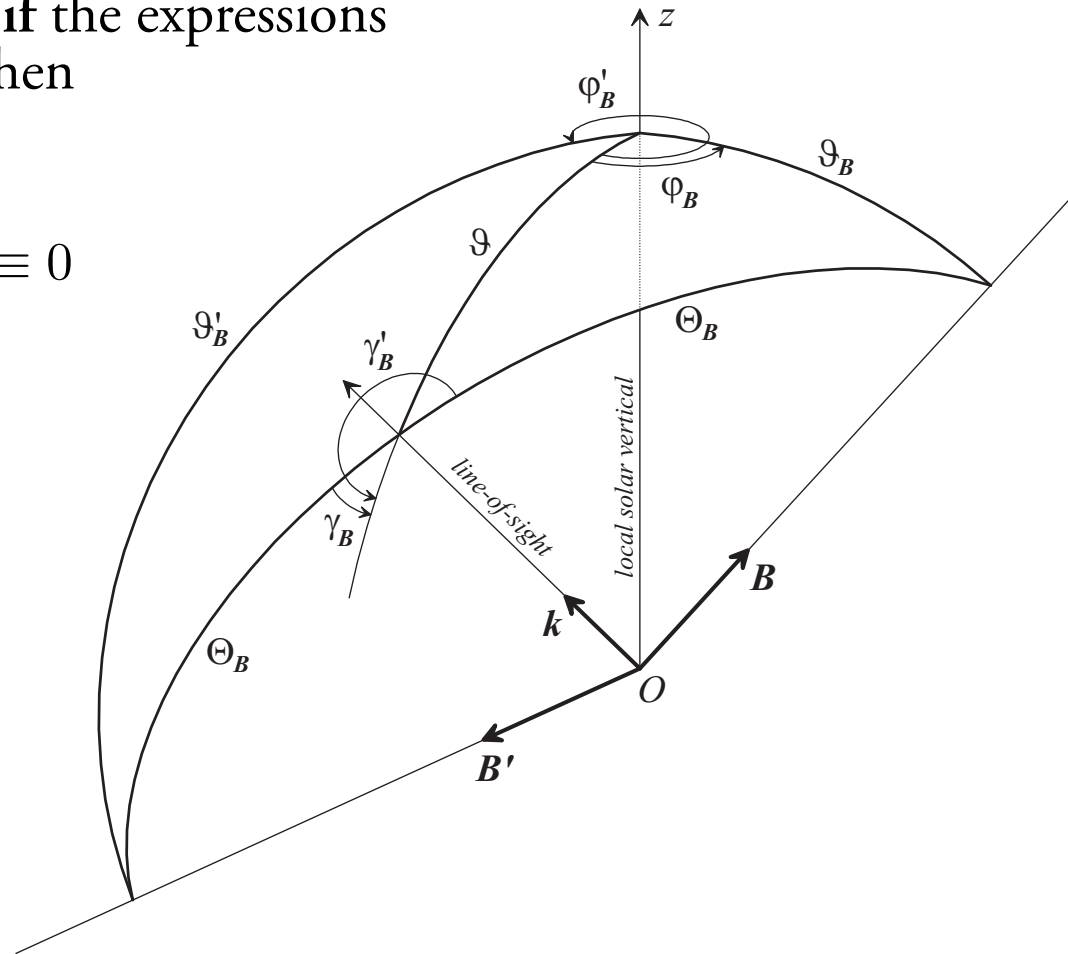
$$\gamma_B \rightarrow \gamma'_B = \pi + \gamma_B$$

$$\rightarrow \Delta \epsilon_i \equiv \epsilon_i(\omega, \hat{\mathbf{k}}; \gamma_B) - \epsilon_i(\omega, \hat{\mathbf{k}}; \gamma'_B) \equiv 0$$

- in general, for the Hanle effect we find

$$\Delta \epsilon_i \propto \frac{w}{1 + \xi^2} \sin \vartheta \cos \vartheta$$

- the 180° ambiguity **only** occurs: 1) in the **absence of radiation anisotropy** ($w=0$), 2) for observations at the **limb** ($\cos \vartheta=0$) or at **disk center** ($\sin \vartheta=0$), or 3) in the **saturated Hanle regime** ($\xi \gg 1$)



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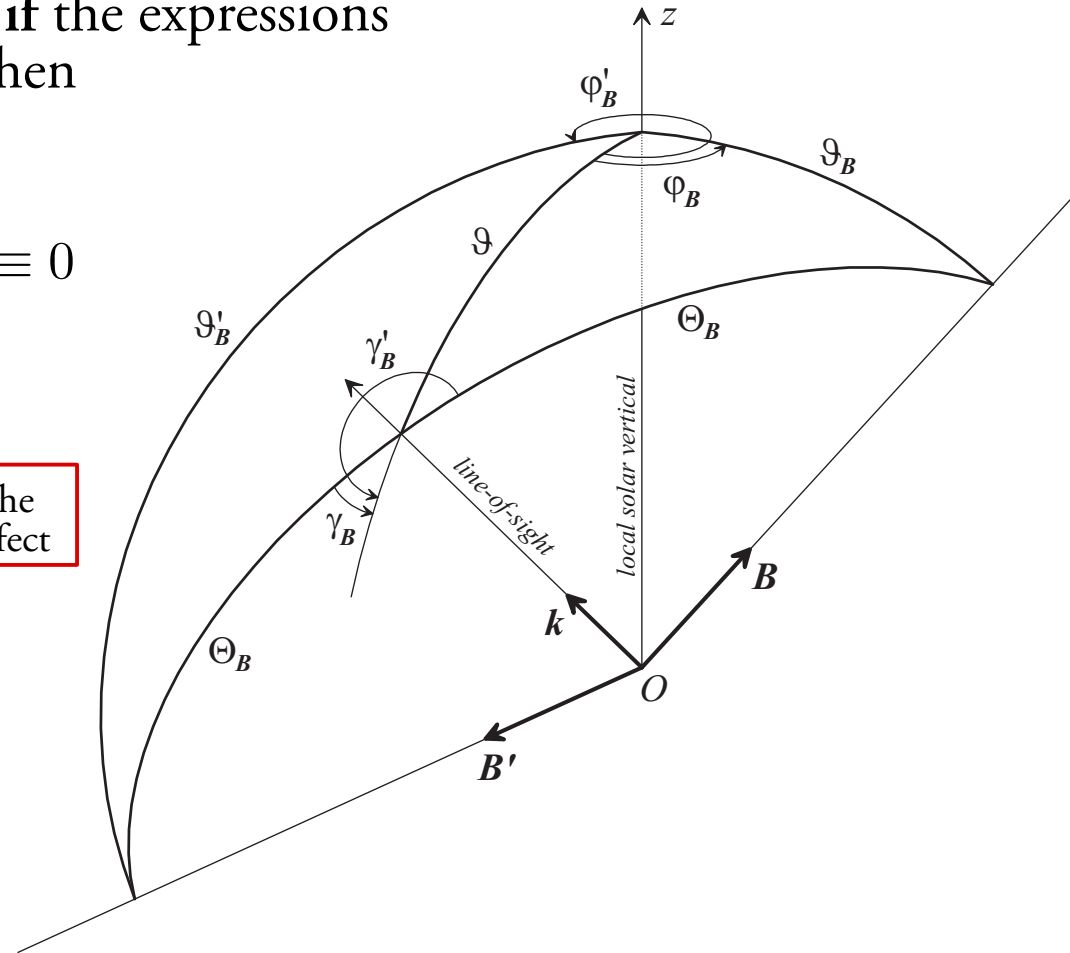
$$\gamma_B \rightarrow \gamma'_B = \pi + \gamma_B$$

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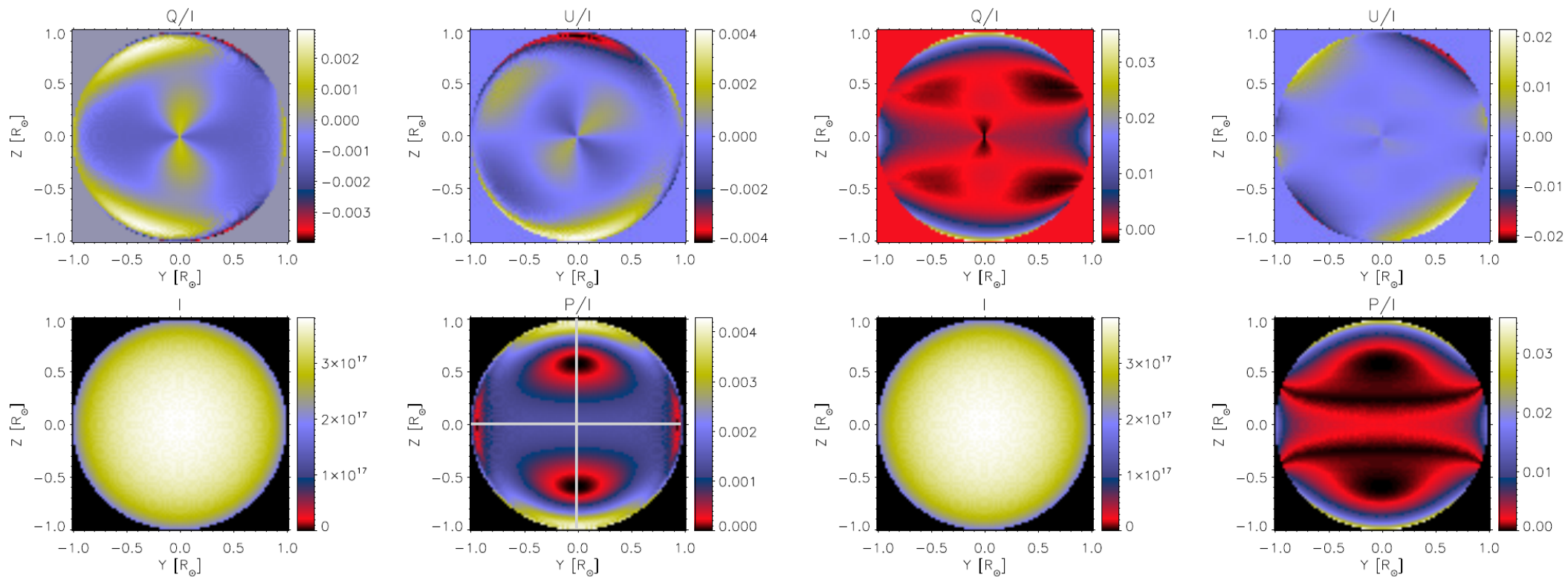
$$\Delta \epsilon_i \propto \frac{w}{1 + \xi^2} \sin \vartheta \cos \vartheta \quad \rightarrow 0 \text{ for the Zeeman effect}$$

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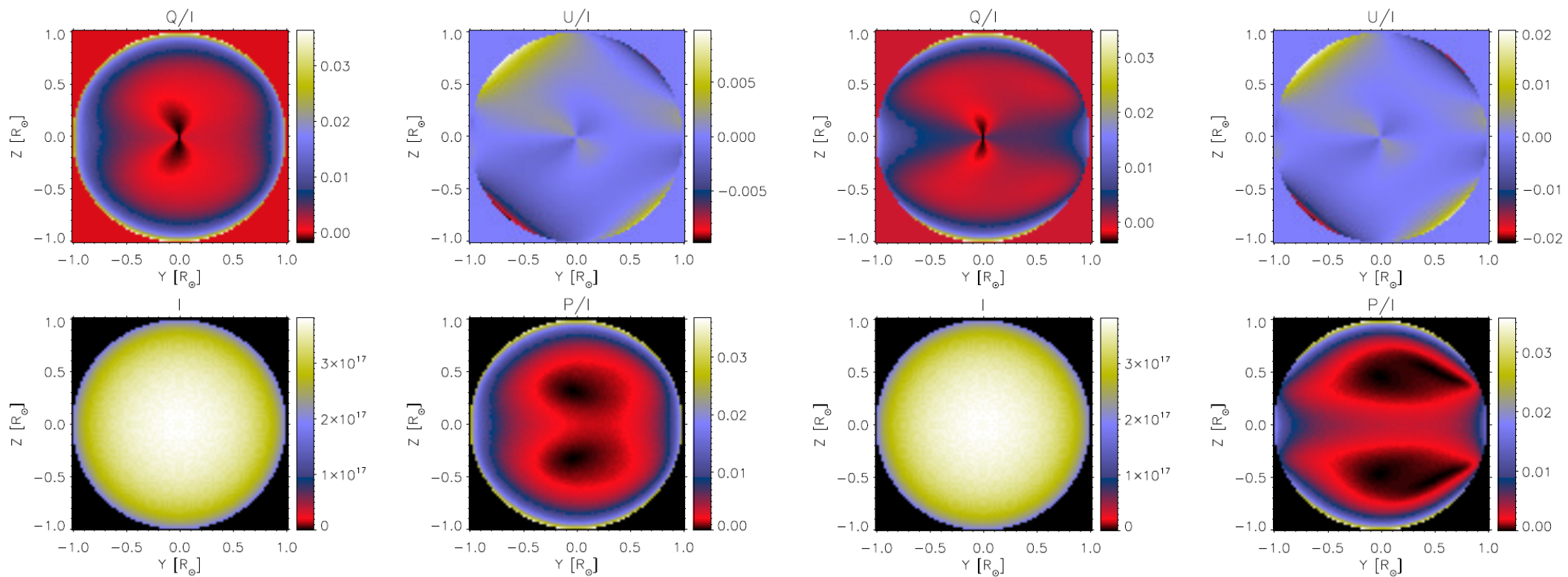
Zeeman vs Hanle: 180° Ambiguity (2)

disk polarization maps of He I 1083 nm in the presence of a **strong** dipole field ($B_{\text{eq}} = 1000$ G), for the Zeeman (left) and Hanle saturated (right) cases; we note the **top-bottom and left-right symmetry** of the P/I maps (the linear-polarization reference is everywhere parallel to the limb)



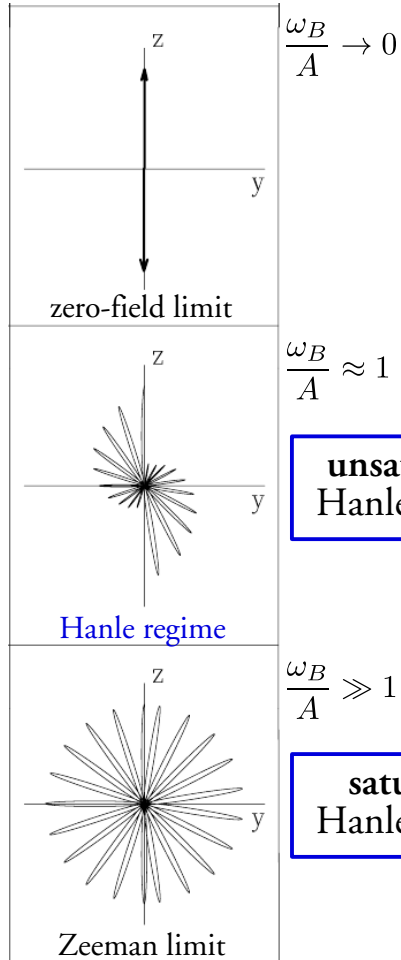
Zeeman vs Hanle: 180° Ambiguity (3)

disk polarization maps of He I 1083 nm in the presence of a weak dipolar field ($B_{\text{eq}} = 0.1$ G, left; $B_{\text{eq}} = 1.0$ G, right), demonstrating the unsaturated Hanle effect; we note how the P/I maps become **left-right asymmetric** because of the **breaking of the 180° ambiguity on disk**



4: *Saturated and Unsaturated Hanle Diagnostics*

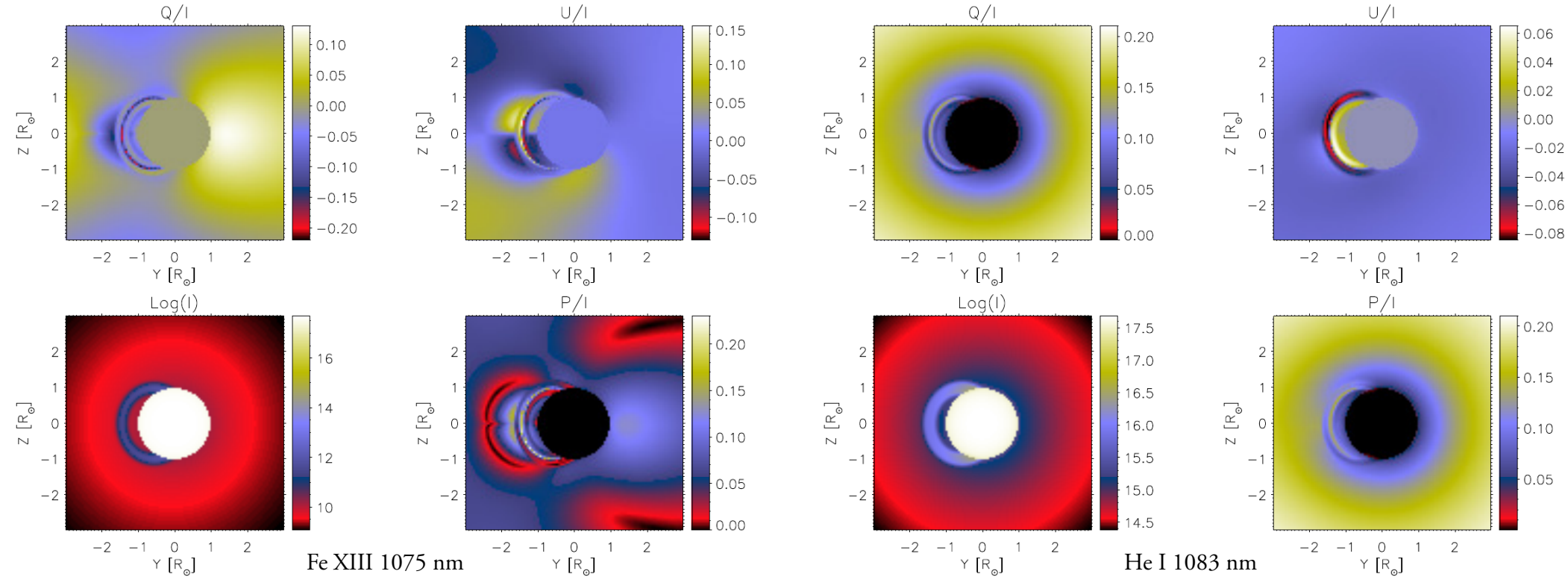
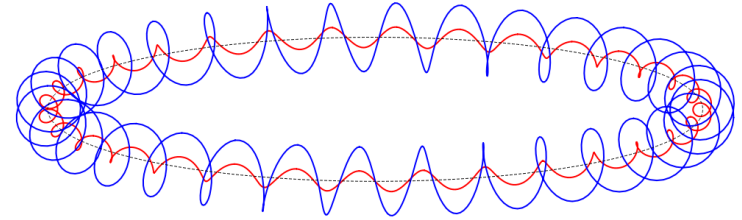
Coronal Hanle-Effect Regimes



- **field strengths** of relevance for **coronal magnetism** diagnostics span ~ 3 ROM (say, ~ 0.1 G to ~ 100 G)
- **transition probabilities** of spectral lines observed in the corona span ~ 7 ROM (say, ~ 10 s $^{-1}$ to $\sim 10^8$ s $^{-1}$)
 \Rightarrow spectral diagnostics exist for both the **unsaturated** and **saturated** Hanle regimes
- strong **EUV/FUV** resonance lines of **electric-dipole** (E1) transitions (e.g., Ne VIII 77 nm, H I 122 nm, C IV 155 nm) are typically in the **unsaturated** regime (e.g., for H I 122 nm, $\omega_B/A \approx 1 \rightarrow B \approx 50$ G)
- **Vis/IR** resonance lines of **magnetic-dipole** (M1) transitions (e.g., Fe XIV 530 nm, Fe XIII 1075/1080 nm) are typically in the **saturated** regime (e.g., for Fe XIII 1075 nm, $\omega_B/A \approx 1 \rightarrow B \approx 5 \times 10^{-7}$ G)
- for the 2-level atom (J_u, J_l) in the saturated Hanle limit, one can provide simple analytic expressions of the polarized scattering emissivity in the presence of a magnetic field (Casini & Judge 1999)

Example: Magnetic Flux Rope (1)

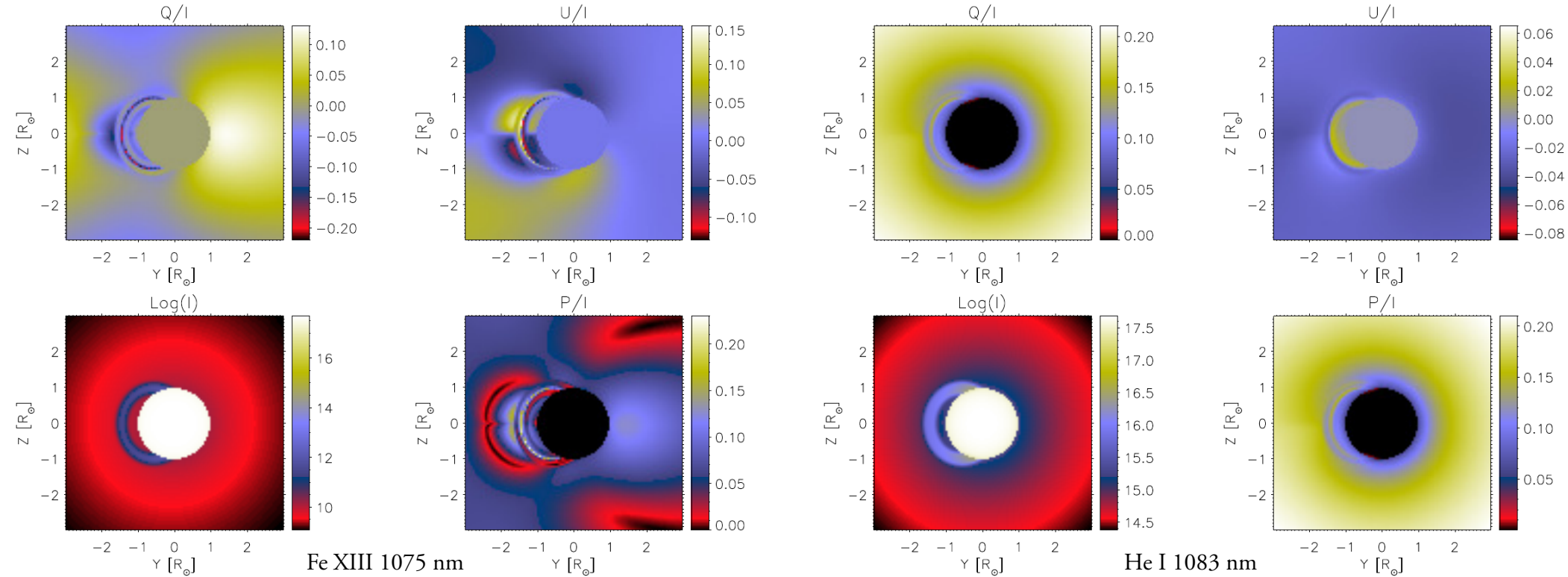
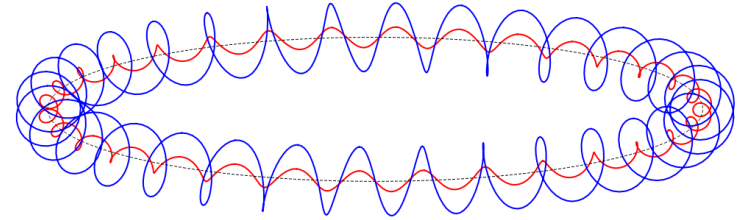
magnetic model includes a family of coiled MFRs
with **1.0 G** on-axis field



Example: Magnetic Flux Rope (2)

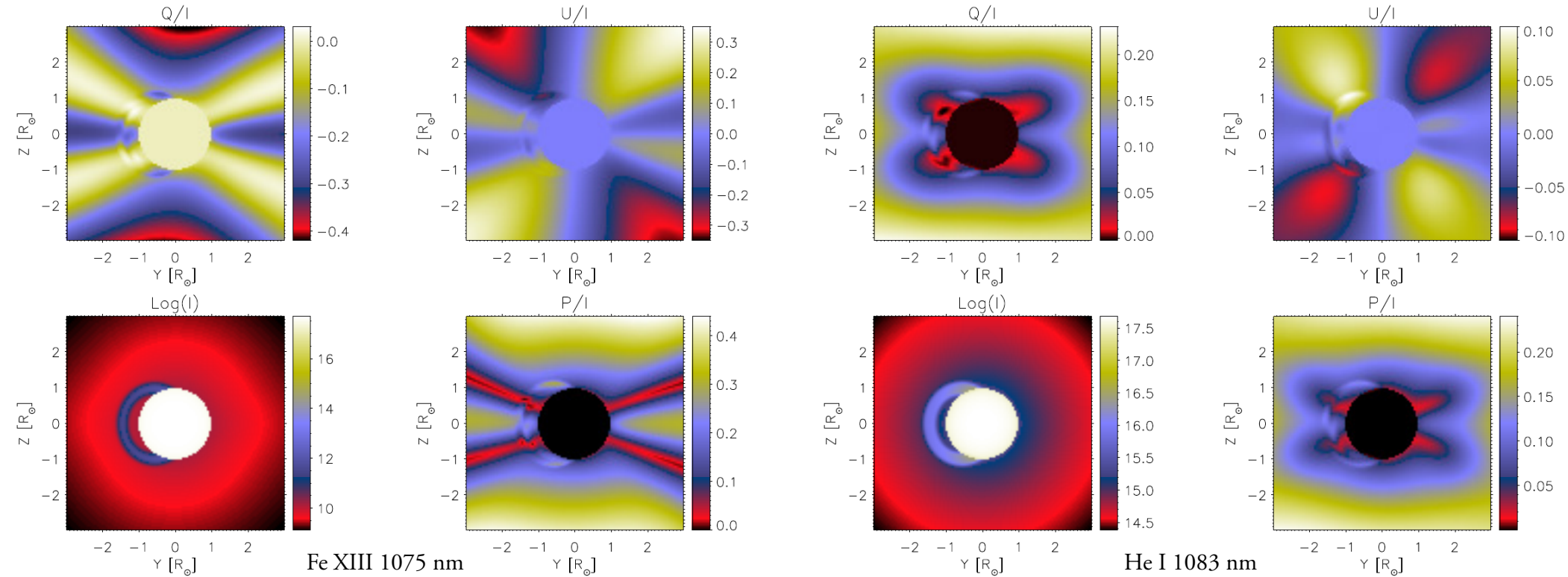
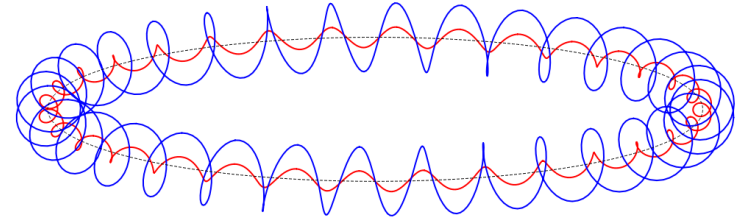
magnetic model includes a family of coiled MFRs with **0.1 G** on-axis field

no change to the polarization maps or Hanle-saturated lines



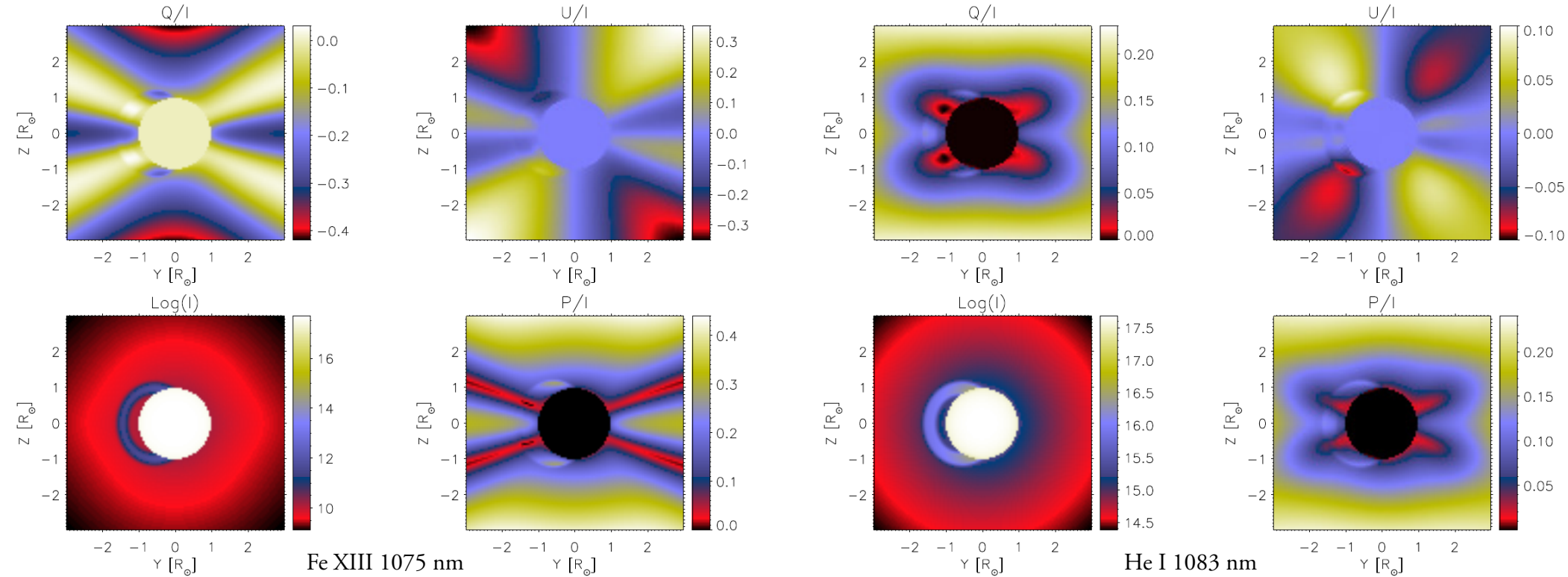
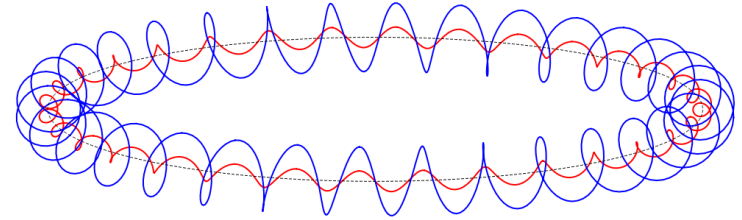
Example: Magnetic Flux Rope (3)

magnetic model includes a family of coiled MFRs with **1.0 G** on-axis field plus a global magnetic dipole ($B_{\text{eq}}=10$ G at the surface equator)



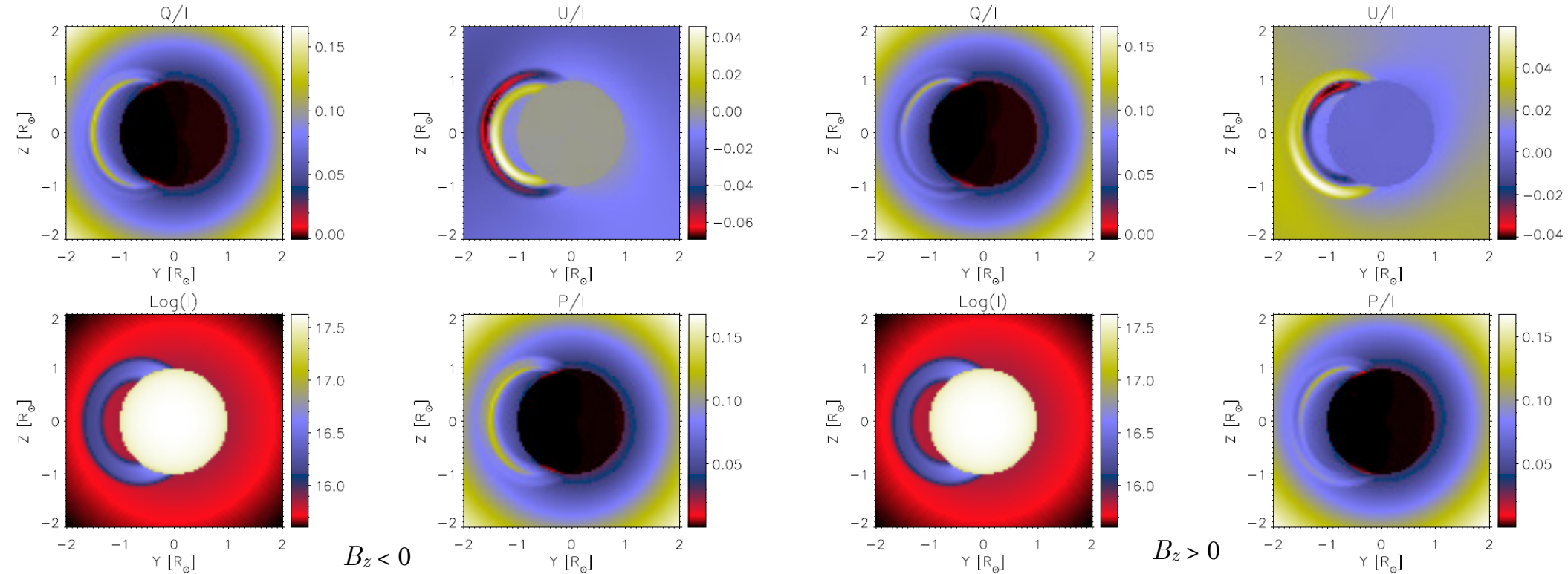
Example: Magnetic Flux Rope (4)

magnetic model includes a family of coiled MFRs with **0.1 G** on-axis field plus a global magnetic dipole ($B_{\text{eq}}=10$ G at the surface equator)



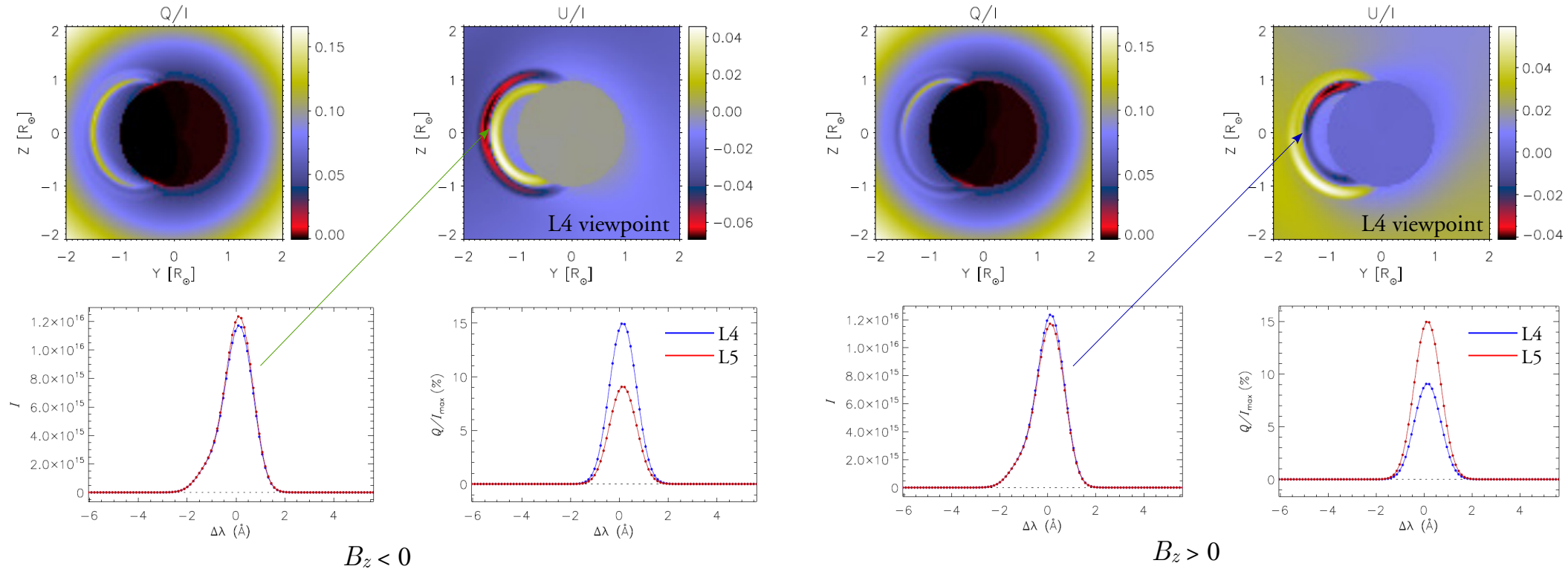
Example: Multi-point Observations (1)

- magnetic model includes a family of coiled MFRs with **1.0 G** on-axis field
- we assume **L4** polarimetric observations in He I 1083 nm of an Earth-directed “CME”
- Hanle-effect polarization enables the discernment of B_z (see also Molnar & Casini 2025)



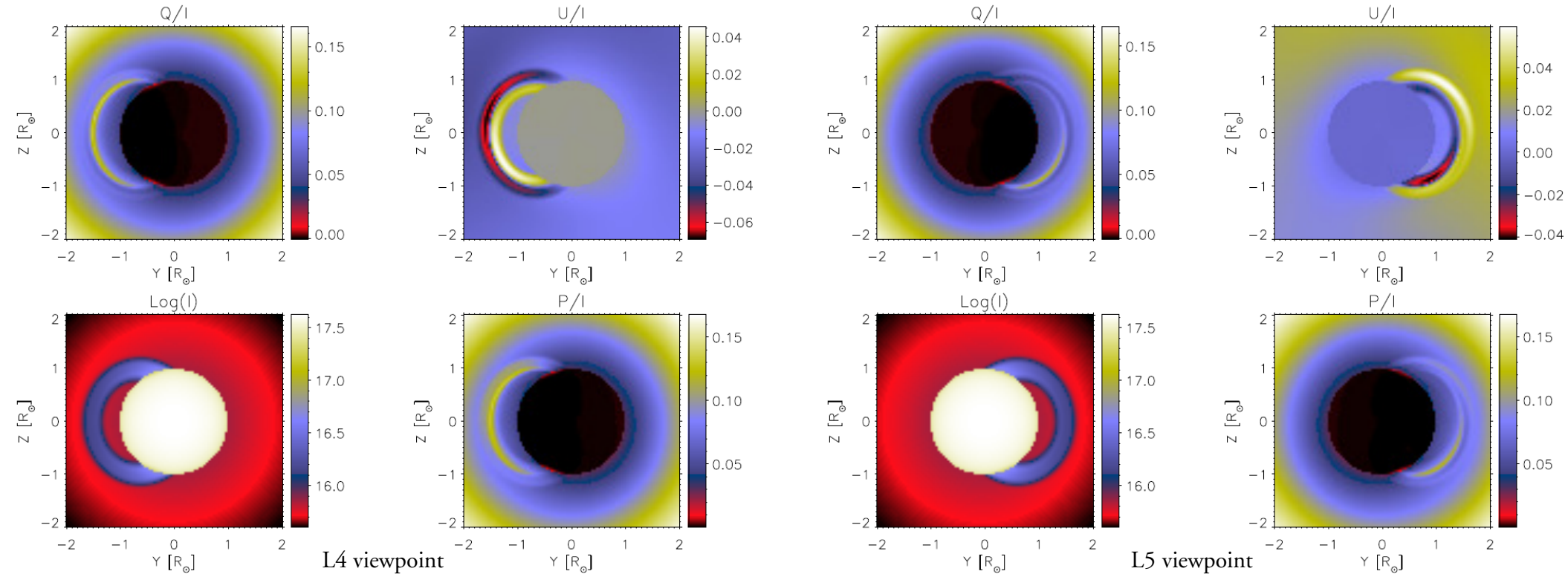
Example: Multi-point Observations (2)

- magnetic model includes a family of coiled MFRs with **1.0 G** on-axis field
- we assume **L4** polarimetric observations in He I 1083 nm of an Earth-directed “CME”
- Stokes profiles at a ***U*-null point** on the MFR axis and on the equatorial plane



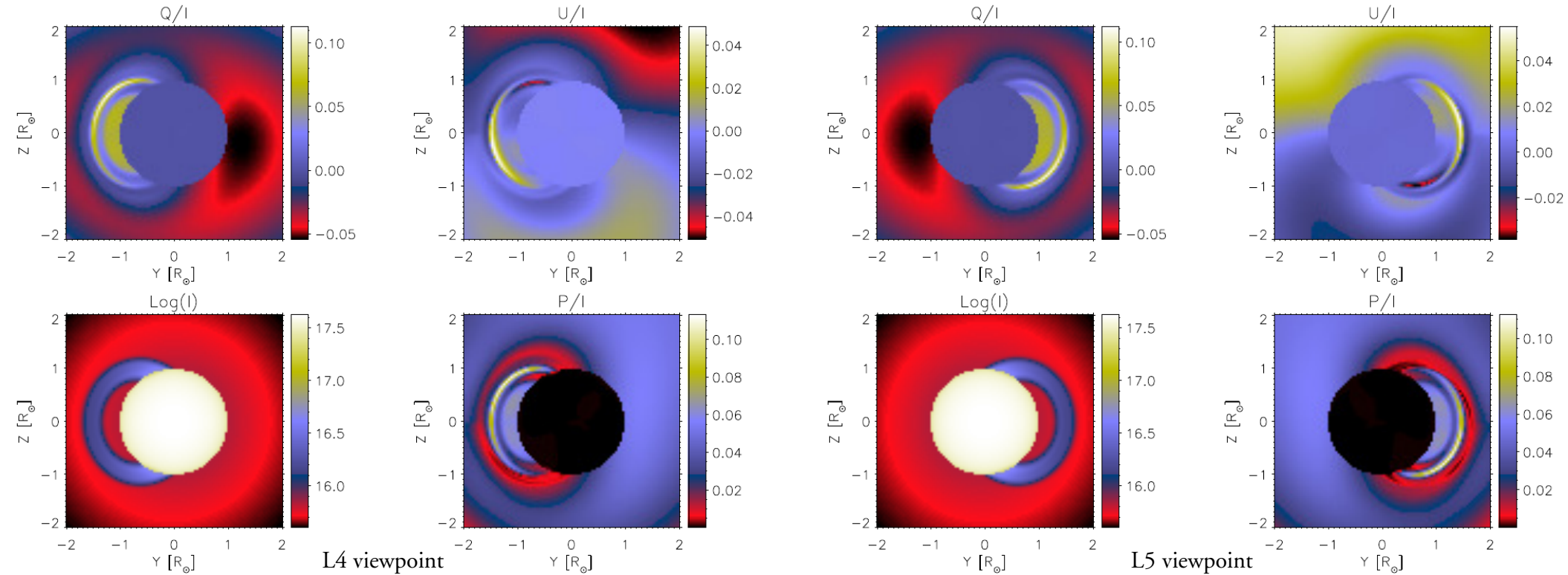
Example: Multi-point Observations (3)

- magnetic model includes a family of coiled MFRs with **1.0 G** on-axis field
- we assume L4-L5 polarimetric observations in He I 1083 nm of an Earth-directed “CME”
- Hanle-effect polarization maps for the $B_z < 0$ case



Example: Multi-point Observations (4)

- magnetic model includes a family of coiled MFRs with **100 G** on-axis field
- we assume L4-L5 polarimetric observations in He I 1083 nm of an Earth-directed “CME”
- full saturation of the Hanle effect brings in **Zeeman-like geometric ambiguities**



Conclusions (1)

- **light polarization**, particularly in spectral lines, is a powerful diagnostic of **symmetry breaking processes** in stellar atmospheres, such as the presence of **magnetic fields** (both deterministic and turbulent), of **thermal and dynamical gradients** in the plasma, and of **anisotropic excitation and de-excitation** mechanisms of the ions and molecules
- under the **ordinary LTE and NLTE** conditions of “dense” astrophysical plasmas (e.g., the solar photosphere and lower chromosphere), use of spectro-polarimetry for the diagnosis of magnetic fields is limited to the **Zeeman effect**, which “generates” spectral line polarization proportionally to the observed **magnetic flux**
 - a viable diagnostic of magnetic fluxes *strong* enough that the spectral scales of the polarization signal are comparable with the **Doppler width** of the line
- anisotropic excitation and de-excitation mechanisms produce **atomic polarization** (population imbalance and quantum coherence among energy levels; **NLTE of the 2nd kind**), which naturally results in the **polarization of the scattered radiation** (e.g., the linear polarization of radiation tangent to the limb of a magnetically “quiet” Sun)

Conclusions (2)

- **scattering polarization** is further modified by the presence of external fields through the **Hanle effect**, which is produced by the relaxation of quantum coherence with the growing energy separation between atomic levels as a function of the magnetic (electric) *strength*
 - a viable diagnostic of magnetic strengths *weak* enough that the energy splittings are comparable with the **natural width** of the line
- because ion collisions in a dense plasma lead to the “thermalization” of energy levels, atomic polarization is mainly manifested in tenuous atmospheres (e.g., the solar corona and upper chromosphere), and scattering polarization is a powerful diagnostic of the magnetism of these regions (e.g., Hanle-effect modeling of He I polarization in solar prominences and filaments, and of H Ly α polarization in the solar corona; “saturated” Hanle effect of M1 coronal emission lines)
- the Hanle effect of He I 1083 nm may be used as a multi-point, linear-polarization diagnostics of the B_z component of a CME-connected MFR, e.g., as observed from the L4-L5 vantage points (see also Molnar & Casini 2025)

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